

Lattice-based cryptography: Enumeration of vectors for SVP



TECHNISCHE
UNIVERSITÄT
DARMSTADT

Artur Mariano
Institute for Scientific Computing
TU Darmstadt
artur.mariano@sc.tu-darmstadt.de

Agenda

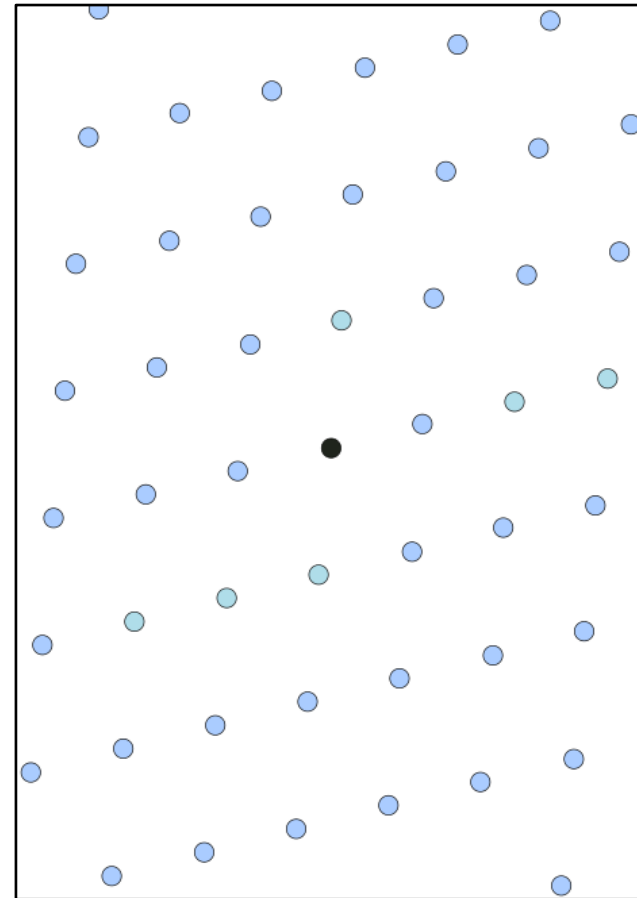
- Lattices
- Lattice-based cryptography (LBC)
- Problems in LBC
 - Enumeration algorithms
- The project

- Vectors are always in bold face and never capitalized
 - Might appear in italic due to the MPP equation feature
- Matrices are always in bold face and capitalized
 - Might appear in italic due to the MPP equation feature
- Lattices are represented by Λ and bases by $\mathbf{\dot{B}}$
- $\|\mathbf{v}\|$ represents the Euclidean norm of a vector $\mathbf{v}$
 - Distance spanned from the origin to the point given by $\mathbf{v}$

- A lattice Λ is generated by a basis $\dot{\mathbf{B}}$
 - Set of linearly independent vectors;
 - Lattice points are linear combinations of vectors in $\dot{\mathbf{B}}$ with integer coefficients:

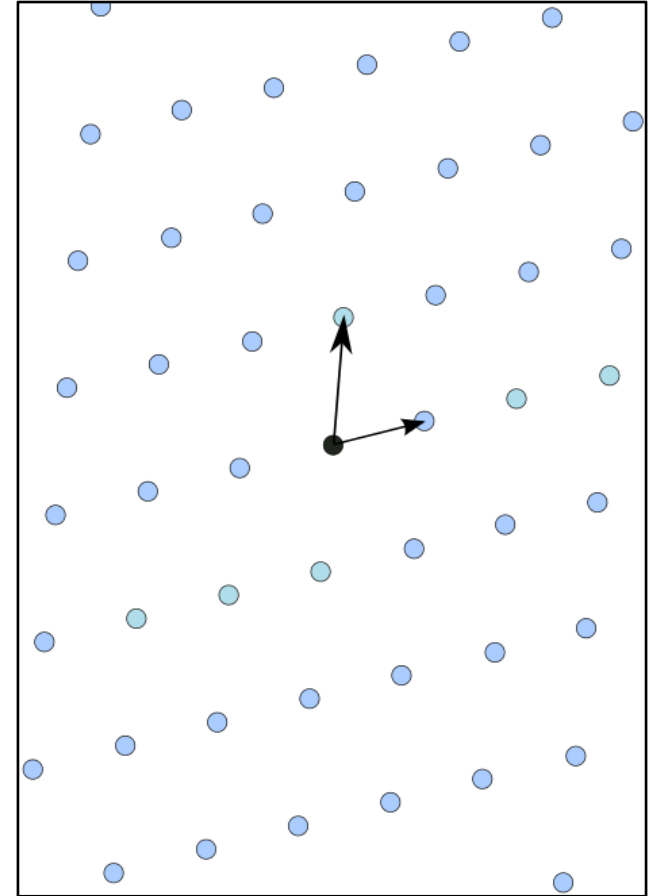
$$\Lambda = \mathbf{B}\mathbf{Z} = \sum_{i=0}^n \mathbf{z}_i \mathbf{b}_i, \quad \mathbf{z}_i \in \mathbb{Z}$$

where $\mathbf{B}$ is the matrix with column vectors
(matrices $k * 1$) $\mathbf{b}_1, \dots, \mathbf{b}_n$, for a basis with
 n vectors.



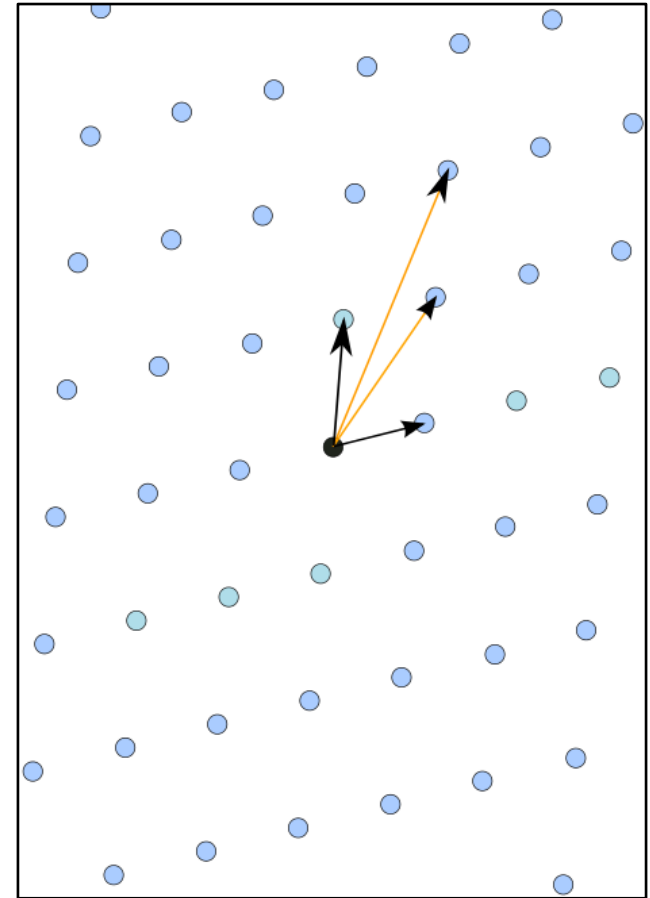
Lattices

- Let us assume a Basis $\vec{B}$ with 2 vectors drawn in the picture on the right side



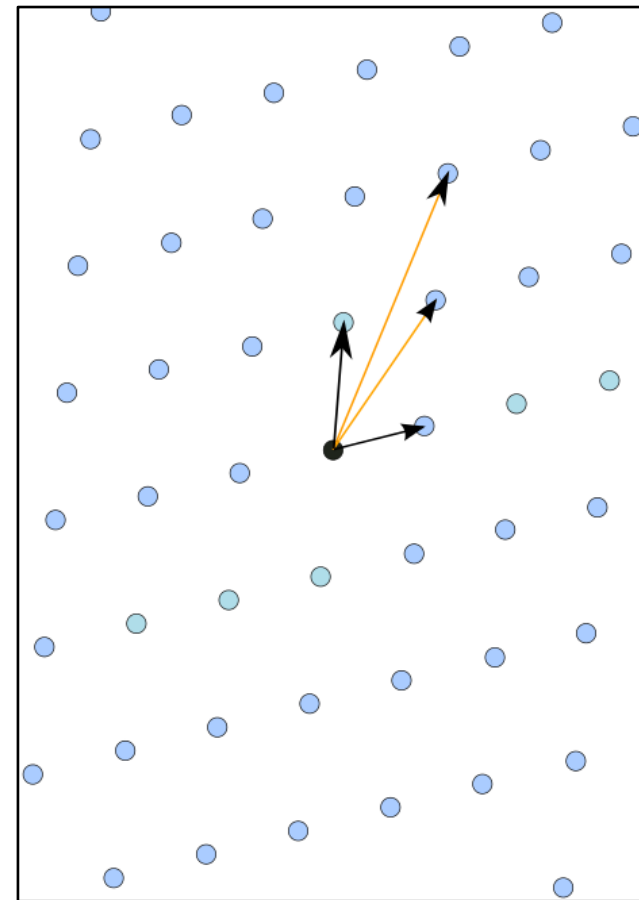
Lattices

- Let us assume a Basis $\vec{B}$ with 2 vectors drawn in the picture on the right side
- The same lattice has generally more than one possible basis!



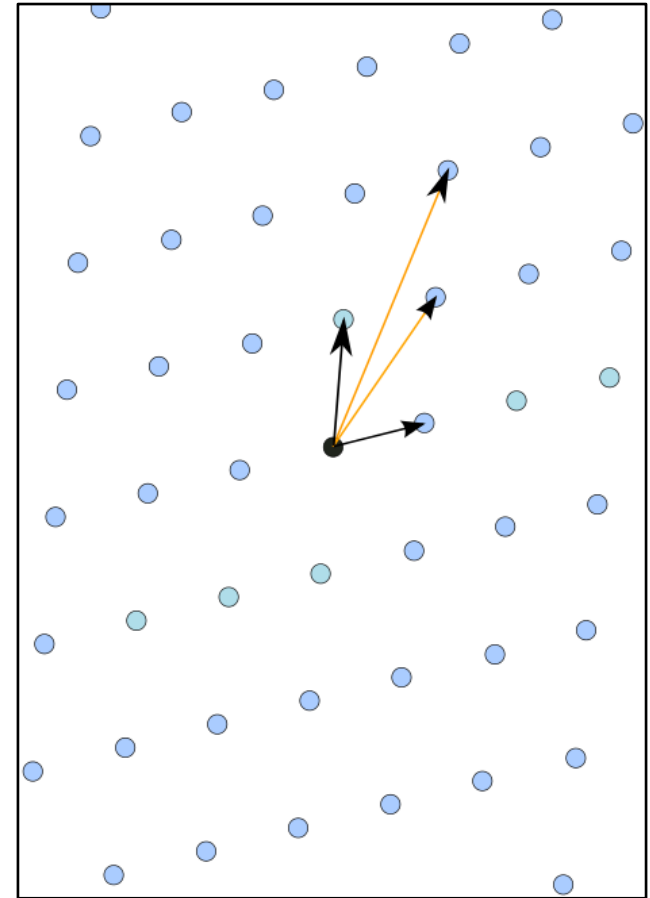
Lattices

- Let us assume a Basis $\vec{B}$ with 2 vectors drawn in the picture on the right side
- The same lattice has generally more than one possible basis!
 - Solve problems in reduced (shorted and orthogonal) bases is simpler



Lattices

- Let us assume a Basis $\vec{B}$ with 2 vectors drawn in the picture on the right side
- The same lattice has generally more than one possible basis!
 - Solve problems in reduced (shorted and orthogonal) bases is simpler
 - Solvers of several problems call basis reduction algorithms before executing

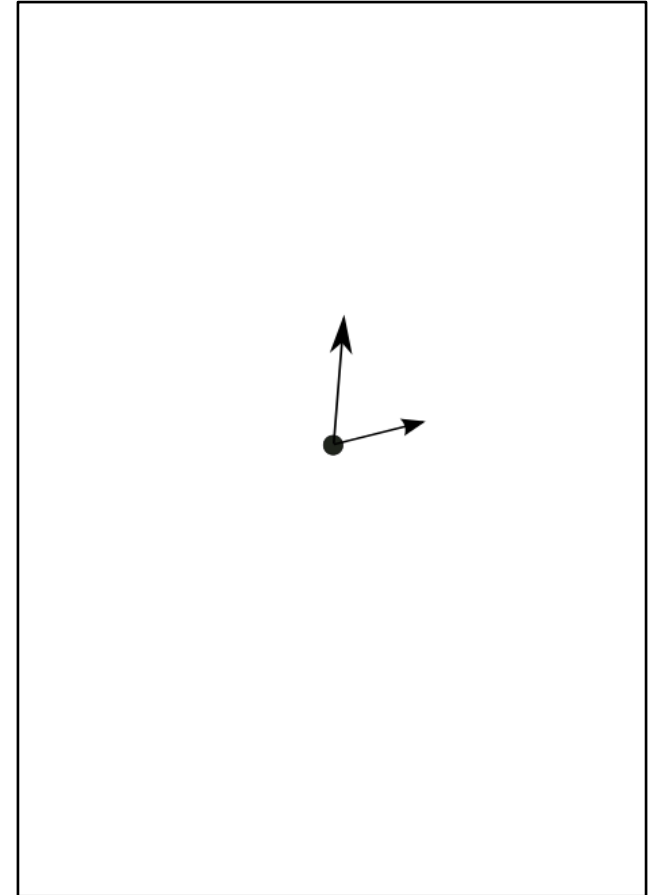


Lattice-based cryptography

- Current cryptographic schemes (e.g. RSA) become vulnerable in the presence of quantum computers
 - This poses real risk, as you might guess!
- Lattices benefit from unique, interesting properties for cryptography
 - The most prominent type of quantum-resistant cryptography
 - Chances are that this will be the standard type of cryptosystems!
 - NP-Hard problems, that are used as the underlying mathematical problems
 - The average-case of lattice problems is still hard to solve
 - Enables the use of fully homomorphic encryption, the holy grail of crypto

Lattices in LBC

- Lattices in $\mathbb{R}^n$ whose basis $\mathbf{B}$ has n elements are called full-rank lattices
 - The most common type in LBC;
- It is also common to work with integer lattices in LBC
 - Whose problems are proved to be as hard as in floating-point lattices
 - Easier to work computationally



- Lattice-based cryptosystems become vulnerable only if specific lattice problems are solved in a timely manner
 - One of which is to find the shortest non-zero vector(s) in a given lattice, referred to as the Shortest Vector Problem (SVP)
 - The SVP is known to be NP-hard in random reductions
 - No polynomial time algorithms are expected to be found
- The shortest vector problem is, in lattice based cryptography, the most relevant problem:

$$\text{find } \|s\| < \|p\|, \forall p \in \Lambda, s \in \Lambda$$

- It might be enough to solve an approximation of SVP (aSVP) if lattice based cryptosystems are to be broken
- SVP are still of vital importance since they are used in aSVP solvers, as a way of improving the final solution
- There are virtually no aSVP solvers, lattice-reduction algorithms are used instead when solving the aSVP
 - and these use SVP solvers as part of their logic!

Science on LBC

- Relatively recent, yet rapidly growing field
- Large number of groups working on LBC -> a lot of papers published
- Led to the creation of challenges to announce what can be broken



HALL OF FAME

Position	Dimension	Euclidean Norm	Seed	Contestant	Solution	Algorithm	Subm. Date	Approx. Factor
1	138	3077	0	Kenji KASHIWABARA and Tadanori TERUYA	vec	Other	2014-12-7	1.03516
2	134	2976	0	Kenji KASHIWABARA and Tadanori TERUYA	vec	Other	2014-07-13	1.01695
3	132	3012	0	Kenji Kashiwabara and Masaharu Fukase	vec	Other	2014-04-24	1.03787
4	130	2883	0	Yoshinori Aono and Phong Nguyen	vec	ENUM,BKZ	2014-10-9	0.99871

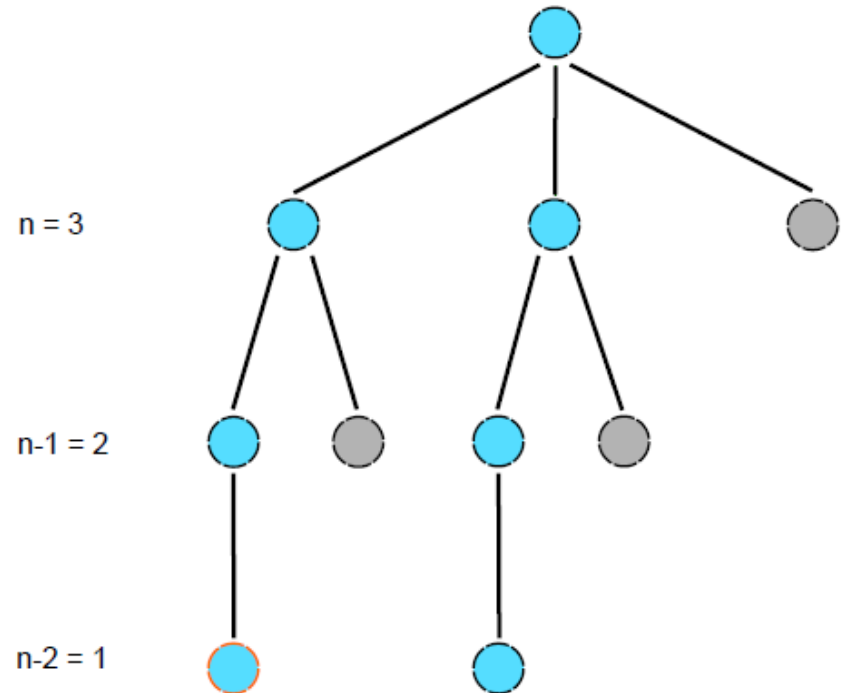
Enumeration techniques for the SVP*

- Exhaustive search algorithms with exponential time complexity but polynomial space complexity
 - Enumeration of all possible vectors within a ball around the origin
 - Depth search in a tree with the resultant vectors
- Extreme pruning techniques make of these algorithms the faster in practice
- **Highly parallel**
 - Implemented in CPU-chips, GPUs and FPGAs with quasi linear speedups
 - No implementations for heterogenous sys., no vectorized code, etc...

*we do try to break systems in Darmstadt, sorry! ☺

Enumeration

```
i = 1;  
C =  $\|b_1\|^2$ ;  
while true do  
  computeSqrDist();  
  if sqrDisti < C then  
    if i > 1 then  
      moveDown();  
    else  
      updateC();  
      updateBestVector();  
    end  
  else  
    if i = n then  
      return bestVector;  
    else  
      moveUp();  
    end  
  end  
end
```



Enumeration: what is it all about?

- Let us focus on the algorithm rather than on the math
- The search is mapped onto a (virtual) search tree
 - The algorithm goes up and down on the tree, according to some criteria
 - The levels of the tree represent parts of the final vector
 - Leaves are complete vectors, but the enumeration might abort at some early point on the branch and move onwards (to another branch or sibling)
 - Unbalanced tree: on CPUs, the problem is easy to solve as long as the enumeration tree is correctly balanced among the running threads
 - Problem was solved, with moderate success; we refer to [DS10]

Enumeration: GPUs and HetPlats

- If CPU code scales at a moderate rate, GPUs might be suited!
 - Trick is to choose operators that can be applied to many (active) nodes
 - Hint: a data driven approach might be useful;
 - Examples from domains with graphs (attend Cristiano's talk today!)
- And if both work, why not to think about heterogeneous CPU+GPU platforms?
 - Frameworks available (e.g. StarPU), although hand tuned code is desired; performance does matter in crypto!

The project

- Enumeration with pruning is the most efficient technique to solve the SVP
- Suboptimal solutions have been proposed to balance the tree
 - Very few details were given on the implementation
 - No heterogenous, high performance versions are known
- (1) Implement a parallel version of the algorithm for shared-memory CPUs
- (2) Port that implementation to GPUs
- (3) Implementation of a CPU+GPU version of the code (hand-tuned)

Working and living in Darmstadt

- Library for High Performance lattice algorithms
 - Lattice Unified Set of Algorithms (LUSA)
- Carry out thesis works in Darmstadt
 - Fábio Correia

Questions

Ask everything you want, even if it looks random!

References

- Images based on the presentations of Panagiotis Voulgaris and Fábio Correia
 - <http://cseweb.ucsd.edu/~pvoulgar/files/>
- [M11] Milde B. et al., “A Parallel Implementation of GaussSieve for the Shortest Vector Problem in Lattices”, Lecture Notes in Computer Science Volume 6873, 2011, pp 452-458; [A02] Agrell, E.; Eriksson, T.; Vardy, A.; Zeger, K., "Closest point search in lattices," *Information Theory, IEEE Transactions on*, vol.48, no.8, pp.2201,2214, Aug 2002
- [M10] Micciancio, Daniele and Voulgaris, Panagiotis, “A Deterministic Single Exponential Time Algorithm for Most Lattice Problems Based on Voronoi Cell Computations”, Proceedings of the 42Nd ACM Symposium on Theory of Computing, 2010